



# L&G Battery Value-Chain UCITS ETF

ETF (UCITS compliant) USD Accumulating ETF Class

## FUND AIM

The L&G Battery Value-Chain UCITS ETF (the "ETF") aims to track the performance of the Solactive Battery Value-Chain Index (the "Index"). More information on the Index can be found on page 2 of this document.

## RISK AND REWARD PROFILE



This ETF is rated 6 due to the nature of its investments and its risks.

The rating is calculated based on historical data and may not be a reliable indication of the ETF's future risk profile.

The risk category may shift over time.

The lowest category on the above scale does not mean "risk free."

## WHO IS THIS FUND FOR?

- This ETF is designed for investors looking to grow their money in an investment which can form part of their existing savings portfolio
- Although investors can take their money out at any time, this ETF may not be appropriate for those who plan to withdraw their money within five years
- If you do not understand the information in this document, the key investor information document or the prospectus, we recommend that you seek additional information or advice to help you decide if this ETF is suitable for you.

## FUND FACTS

|              |               |  |                             |
|--------------|---------------|--|-----------------------------|
| Fund size    | Base currency | Index  |                             |
| \$39.9m      | USD           | Solactive Battery Value-Chain Index Net TR USD |                             |
| Listing date | Domicile      | Index ticker                                   | Replication method          |
| 23 Jan 2018  | Ireland       | SOLBATT  | Physical - full replication |

## COSTS

Total expense ratio  
0.75%

## PERFORMANCE (%) IN USD



|          | 1 month | 6 months | 1 year | 3 years | Launch |
|----------|---------|----------|--------|---------|--------|
| NAV      | -7.02   | -8.60    | -14.02 | -       | -24.88 |
| Index    | -6.97   | -8.45    | -13.81 | -       | -24.39 |
| Relative | -0.05   | -0.15    | -0.21  | -       | -0.49  |

## FUND SNAPSHOT

- **Long-term allocation**  
Long-term megatrend that we believe is radically transforming the way we live and work
- **High growth potential**  
Aims to capture the outsized growth potential of battery technology
- **Leveraging industry expertise**  
An index tracking investment strategy that is supported by a team of battery technology experts

## ANNUAL PERFORMANCE (%)

| 12 Months to 30 June | 2019  | 2018 | 2017 | 2016 | 2015 |
|----------------------|-------|------|------|------|------|
| NAV                  | -6.42 | -    | -    | -    | -    |
| Index                | -6.18 | -    | -    | -    | -    |
| Relative             | -0.24 | -    | -    | -    | -    |

Performance for the USD Accumulating ETF class, listed on 23 January 2018. Source: LGIM. Performance assumes all ETF charges have been taken and that all income generated by the investments, after deduction of tax, remains in the ETF.

Past performance is not a guide to the future.

## INDEX BREAKDOWN

The breakdowns below relate to the Index. The ETF's portfolio may deviate from the below. All data source LGIM unless otherwise stated. Totals may not sum to 100% due to rounding.



### SECTOR (%)

|                        |      |
|------------------------|------|
| Industrials            | 33.3 |
| Materials              | 26.9 |
| Consumer Discretionary | 24.1 |
| Information Technology | 12.2 |
| Energy                 | 3.5  |



### CURRENCY (%)

|     |      |
|-----|------|
| JPY | 47.4 |
| USD | 19.6 |
| KRW | 11.1 |
| EUR | 9.6  |
| HKD | 6.0  |
| CHF | 3.7  |
| AUD | 2.5  |



■ Top 10 constituents 39.3%  
 ■ Rest of Index 60.7%  
 No. of constituents in Index 29

### TOP 10 CONSTITUENTS (%)

|                  |     |
|------------------|-----|
| NEC              | 4.6 |
| Hitachi Chemical | 4.4 |
| Sony             | 4.3 |
| Samsung SDI      | 4.1 |
| FMC Corporation  | 4.1 |
| ABB              | 3.7 |
| Nidec            | 3.6 |
| Toshiba          | 3.5 |
| TDK Corporation  | 3.5 |
| Tesla            | 3.5 |

## INDEX DESCRIPTION

The Index aims to track the performance of a basket of stocks of companies that are providers of certain electro-chemical energy storage technologies and mining companies that produce metals used to manufacture batteries.

The Index is comprised of companies which are publically traded on various stock exchanges around the world that are either (i) mining companies that produce metals that are primarily used for manufacturing batteries or (ii) companies that develop electro-chemical energy storage technology (i.e. battery technology) and produce batteries. A "battery" is a device consisting of one or more electro-chemical cells that are capable of generating electrical energy from chemical reactions. A company is only eligible for inclusion in the Index if (1) it is of a sufficient size (determined by reference to the total market value of the proportion of its shares that are publically traded) and (2) it is sufficiently "liquid" (a measure of how actively its shares are traded on a daily basis).

The universe of companies out of which the Index selection is made is refreshed annually in May. However, the Index is reconstituted semi-annually in May and November in accordance with the full selection criteria and all companies are equally weighted within the Index. On a monthly basis, the weight of each company is assessed and, if any of them exceeds 15% of the Index, the weights of all companies are adjusted so that they are again equally weighted within the Index.

## INVESTMENT MANAGER

GO ETF Solutions LLP is the investment manager for each of the exchange traded funds (ETFs) issued by Legal & General UCITS ETF Plc and is responsible for the day-to-day investment management decisions for this ETF. The team is highly experienced with respect to all aspects relating to the management of an ETF portfolio, including collateral management, OTC swap trading, adherence to UCITS regulations and counterparty exposure and monitoring.

## KEY RISKS

- The value of an investment and any income taken from it is not guaranteed and can go down as well as up. You may not get back the amount you originally invested.
- An investment in the ETF involves a significant degree of risk. Any decision to invest should be based on the information contained in the relevant prospectus. Prospective investors should obtain their own independent accounting, tax and legal advice and should consult their own professional advisers to ascertain the suitability of the ETF as an investment.
- The value of the shares in the ETF is directly affected by increases and decreases in the value of the Index. Accordingly the value of a share in the ETF may go up or down and a shareholder may lose some or the entire amount invested.
- The ETF's ability to closely track the Index will be affected by its ability to purchase and/or sell the Index constituents and any legal or regulatory restrictions or disruptions affecting them.
- The ETF's ability to closely track the Index will also be affected by transaction costs and taxes incurred when adjusting its investment portfolio generally and/or to mirror any periodic adjustments to the constituents of the Index. There can be no certainty that ETF shares can always be bought or sold on a stock exchange or that the market price at which the ETF shares may be traded on a stock exchange will reflect the performance of the Index.
- The ETF is subject to the risk that third party service providers (such as a bank entering into swaps with the ETF or the ETF's depository) may go bankrupt or fail to pay money due to the ETF or return property belonging to the ETF.
- As the Index includes micro, small and medium-sized publicly traded companies, the ETF is subject to the risk that such companies may be more vulnerable to adverse business or economic events and greater and more unpredictable price changes than larger companies or the stock market as a whole.
- The use of patents, copyrights and trade secret laws may not be adequate to prevent the misappropriation of a company's battery technology. Companies may also face competition from companies with more advanced and/or cheaper battery technology. The emergence of new battery technologies that are not dependent on lithium production could reduce the revenues of lithium mining companies. All such companies are also vulnerable to general slowdowns in world economic growth and government intervention and/or regulation. The foregoing factors could lead to a decline in the value of the companies represented in the Index and therefore the ETF.

For more information, please refer to the key investor information document on our website [↗](#)

## TRADING INFORMATION

| Exchange              | Currency | ISIN         | SEDOL   | Ticker | Bloomberg |
|-----------------------|----------|--------------|---------|--------|-----------|
| London Stock Exchange | USD      | IE00BF0M2Z96 | BF0M2Z9 | BATT   | BATT LN   |
| London Stock Exchange | GBP      | IE00BF0M2Z96 | BF0M668 | BATG   | BATG LN   |
| Deutsche Börse        | EUR      | DE000A2H9VG9 | BFMYF76 | BATE   | BATE GY   |
| Borsa Italiana        | EUR      | IE00BF0M2Z96 | BF0M710 | BATT   | BATT IM   |
| NYSE Euronext         | EUR      | IE00BF0M2Z96 | BF0M754 | BATT   | BATT NA   |

The currency shown is the trading currency of the listing.



## SPOTLIGHT ON LEGAL & GENERAL INVESTMENT MANAGEMENT

We are one of Europe's largest asset managers and a major global investor, with assets under management of £1,134.5 billion (as at 30 June 2019). We work with a wide range of global clients, including pension schemes, sovereign wealth funds, fund distributors and retail investors.

The AUM disclosed aggregates the assets managed by LGIM in the UK, LGIMA in the US and LGIM Asia in Hong Kong. The AUM includes the value of securities and derivatives positions.

## COUNTRY REGISTRATION

- Austria
- Denmark
- Finland
- France
- Germany
- Ireland
- Italy
- Luxembourg
- Netherlands
- Norway
- Spain
- Sweden
- United Kingdom

## AVAILABILITY

|                          |     |
|--------------------------|-----|
| ISA eligible             | Yes |
| SIPP eligible            | Yes |
| UK Fund Reporting Status | Yes |

## TO FIND OUT MORE

Visit [lgimETF.com](http://lgimETF.com)

Call **0345 070 8684**

Email [fundsales@lgim.com](mailto:fundsales@lgim.com)

Lines are open Monday to Friday 8.30am to 6.00pm. We may record and monitor calls. Call charges will vary.

## Index Disclaimer

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We are a member of the Irish Funds Association.

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