

# L&G Battery Value-Chain UCITS ETF

ETF (UCITS compliant) USD Accumulating ETF Class



## FUND AIM

The L&G Battery Value-Chain UCITS ETF (the "ETF") aims to track the performance of the Solactive Battery Value-Chain Index (the "Index"). More information on the Index can be found on page 2 of this document.

## RISK AND REWARD PROFILE



This ETF is rated 6 due to the nature of its investments and its risks.

The rating is calculated based on historical data and may not be a reliable indication of the ETF's future risk profile.

The risk category may shift over time.

The lowest category on the above scale does not mean "risk free."

## WHO IS THIS FUND FOR?

- This ETF is designed for investors looking to grow their money in an investment which can form part of their existing savings portfolio
- Although investors can take their money out at any time, this ETF may not be appropriate for those who plan to withdraw their money within five years
- If you do not understand the information in this document, the key investor information document or the prospectus, we recommend that you seek additional information or advice to help you decide if this ETF is suitable for you.

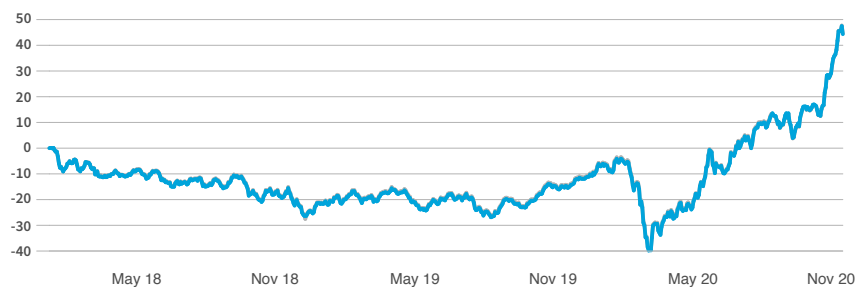
## FUND FACTS

Fund size	Base currency	Index	
\$365.1m	USD	Solactive Battery Value-Chain Index Net TR USD	
Listing date	Domicile	Index ticker	Replication method
23 Jan 2018	Ireland	SOLBATT	Physical - full replication

## COSTS

Total expense ratio  
0.49%

## PERFORMANCE (%) IN USD



	1 month	6 months	1 year	3 years	Launch
NAV	28.18	69.41	70.51	-	44.31
Index	28.55	70.30	70.88	-	45.48
Relative	-0.37	-0.89	-0.37	-	-1.17

## FUND SNAPSHOT

- **Long-term allocation**  
Long-term megatrend that we believe is radically transforming the way we live and work
- **High growth potential**  
Aims to capture the outsized growth potential of battery technology
- **Leveraging industry expertise**  
An index tracking investment strategy that is supported by a team of battery technology experts

## ANNUAL PERFORMANCE (%)

12 Months to 30 September	2020	2019	2018	2017	2016
NAV	39.26	-11.58	-	-	-
Index	39.05	-11.35	-	-	-
Relative	+0.21	-0.23	-	-	-

Performance for the USD Accumulating ETF class, listed on 23 January 2018. Source: Lipper. Performance assumes all ETF charges have been taken and that all income generated by the investments, after deduction of tax, remains in the ETF.

Past performance is not a guide to the future.

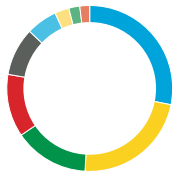
## INDEX BREAKDOWN

The breakdowns below relate to the Index. The ETF's portfolio may deviate from the below. All data source LGIM unless otherwise stated. Totals may not sum to 100% due to rounding.



### SECTOR (%)

■ Industrials	32.4
■ Consumer Discretionary	30.0
■ Materials	25.2
■ Information Technology	12.3



### CURRENCY (%)

■ JPY	28.2
■ USD	22.7
■ EUR	14.6
■ AUD	12.2
■ KRW	9.4
■ HKD	6.1
■ CHF	2.8
■ GBP	2.1
■ CAD	1.9



■ Top 10 constituents **38.3%**  
 ■ Rest of Index **61.7%**  
 No. of constituents in Index **31**

### TOP 10 CONSTITUENTS (%)

Galaxy Resources	4.6
Renault	4.2
Pilbara Minerals	4.2
Amg Advanced Metallurgical	3.8
GS Yuasa	3.8
Tesla	3.7
Nissan	3.6
General Electric	3.5
Mineral Resources	3.5
Tianneng Power	3.4

## INDEX DESCRIPTION

The Index aims to track the performance of a basket of stocks of companies that are providers of certain electro-chemical energy storage technologies and mining companies that produce metals used to manufacture batteries.

The Index is comprised of companies which are publically traded on various stock exchanges around the world that are either (i) mining companies that produce metals that are primarily used for manufacturing batteries or (ii) companies that develop electro-chemical energy storage technology (i.e. battery technology) and produce batteries. A "battery" is a device consisting of one or more electro-chemical cells that are capable of generating electrical energy from chemical reactions. A company is only eligible for inclusion in the Index if (1) it is of a sufficient size (determined by reference to the total market value of the proportion of its shares that are publically traded) and (2) it is sufficiently "liquid" (a measure of how actively its shares are traded on a daily basis).

The universe of companies out of which the Index selection is made is refreshed annually in May. However, the Index is reconstituted semi-annually in May and November in accordance with the full selection criteria and all companies are equally weighted within the Index. On a monthly basis, the weight of each company is assessed and, if any of them exceeds 15% of the Index, the weights of all companies are adjusted so that they are again equally weighted within the Index.

## INVESTMENT MANAGER

GO ETF Solutions LLP is the investment manager for each of the exchange traded funds (ETFs) issued by Legal & General UCITS ETF Plc and is responsible for the day-to-day investment management decisions for this ETF. The team is highly experienced with respect to all aspects relating to the management of an ETF portfolio, including collateral management, OTC swap trading, adherence to UCITS regulations and counterparty exposure and monitoring.

## KEY RISKS

- The value of an investment and any income taken from it is not guaranteed and can go down as well as up; you may not get back the amount you originally invested.
- An investment in the ETF involves a significant degree of risk. Any decision to invest should be based on the information contained in the relevant prospectus. Prospective investors should obtain their own independent accounting, tax and legal advice and should consult their own professional advisers to ascertain the suitability of the ETF as an investment.
- The value of the shares in the ETF is directly affected by increases and decreases in the value of the Index. Accordingly the value of a share in the ETF may go up or down and a shareholder may lose some or the entire amount invested.
- The ETF's ability to closely track the Index will be affected by its ability to purchase and/or sell the Index constituents and any legal or regulatory restrictions or disruptions affecting them.
- The ETF's ability to closely track the Index will also be affected by transaction costs and taxes incurred when adjusting its investment portfolio generally and/or to mirror any periodic adjustments to the constituents of the Index. There can be no certainty that ETF shares can always be bought or sold on a stock exchange or that the market price at which the ETF shares may be traded on a stock exchange will reflect the performance of the Index.
- The ETF is subject to the risk that third party service providers (such as a bank entering into swaps with the ETF or the ETF's depository) may go bankrupt or fail to pay money due to the ETF or return property belonging to the ETF.
- As the Index includes micro, small and medium-sized publicly traded companies, the ETF is subject to the risk that such companies may be more vulnerable to adverse business or economic events and greater and more unpredictable price changes than larger companies or the stock market as a whole.
- The use of patents, copyrights and trade secret laws may not be adequate to prevent the misappropriation of a company's battery technology. Companies may also face competition from companies with more advanced and/or cheaper battery technology. The emergence of new battery technologies that are not dependent on lithium production could reduce the revenues of lithium mining companies. All such companies are also vulnerable to general slowdowns in world economic growth and government intervention and/or regulation. The foregoing factors could lead to a decline in the value of the companies represented in the Index and therefore the ETF.

For more information, please refer to the key investor information document on our website [↗](#)

## TRADING INFORMATION

Exchange	Currency	ISIN	SEDOL	Ticker	Bloomberg
London Stock Exchange	USD	IE00BF0M2Z96	BF0M2Z9	BATT	BATT LN
London Stock Exchange	GBP	IE00BF0M2Z96	BF0M668	BATG	BATG LN
Deutsche Börse	EUR	IE00BF0M2Z96	BF0M743	BATE	BATE GY
Borsa Italiana	EUR	IE00BF0M2Z96	BF0M710	BATT	BATT IM
NYSE Euronext	EUR	IE00BF0M2Z96	BF0M754	BATT	BATT NA
SIX Swiss Exchange	CHF	IE00BF0M2Z96	BMG6964	BATT	BATT SW

The currency shown is the trading currency of the listing.



## SPOTLIGHT ON LEGAL & GENERAL INVESTMENT MANAGEMENT

We are one of Europe's largest asset managers and a major global investor, with assets under management of £1,240.6 billion (as at 30 June 2020). We work with a wide range of global clients, including pension schemes, sovereign wealth funds, fund distributors and retail investors.

Source: LGIM internal data as at 30 June 2020. The AUM disclosed aggregates the assets managed by LGIM in the UK, LGIMA in the US and LGIM Asia in Hong Kong. The AUM includes the value of securities and derivatives positions.

## COUNTRY REGISTRATION



## AVAILABILITY

ISA eligible	Yes
SIPP eligible	Yes
UK Fund Reporting Status	Yes

## TO FIND OUT MORE

 Visit [lgimETF.com](https://www.lgimETF.com)

 Call **0345 070 8684**

 Email [fundsales@lgim.com](mailto:fundsales@lgim.com)

Lines are open Monday to Friday 8.30am to 6.00pm. We may record and monitor calls. Call charges will vary.

## Index Disclaimer

The L&G Battery Value-Chain UCITS ETF (the "ETF") is not sponsored, promoted, sold or supported by Solactive AG. Nor does Solactive AG offer any express or implicit guarantee or assurance either with regard to the results of using the Index and/or Index trade mark or the Index price, at any time or in any other respect.

The Solactive Battery Value-Chain Index (the "Index") is calculated and published by Solactive AG. Solactive AG uses its best efforts to ensure that the Index is calculated correctly. Irrespective of its obligations towards Legal & General UCITS ETF Plc, Solactive AG has no obligation to point out errors in the Index to third parties including, but not limited to, investors and/or financial intermediaries of the ETF. Solactive AG does not guarantee the accuracy and/or the completeness of the Index or any related data, and shall not have any liability for any errors, omissions or interruptions therein.

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GO ETF Solutions LLP has been appointed as the discretionary investment manager for this Fund, it is authorised and regulated by the Financial Conduct Authority No.477266.

We are a member of the Irish Funds Association.

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