

# Legal & General (Unit Trust Managers) Limited

This application form is required to be completed by investors in order to subscribe for Units in the sub-funds of Legal & General Investment Funds ICVC and in unit trusts to which Legal & General (Unit Trust Managers) Limited (the “**Manager**”) acts as the authorised fund manager (together the “**Funds**”).

This application form should be read in conjunction with the Prospectuses of the Funds. Unless otherwise defined, capitalised terms used in this application form have the same meaning as set out in the Prospectuses.

Please note that the original fully signed application form and any other applicable documentation required for anti-money laundering procedures, which shall be original or appropriately certified, must be returned to the Registrar at the address given in section 9. Investors will not qualify for the right to cancel their application to buy Units.

No dealing instructions will be processed prior to receipt of this original form and supporting documentation. If you have any questions in relation to this application form, please contact us on **+44 (0)370 050 0634**, and we will be happy to help. Call charges will vary. We may record and monitor calls.

## Guidance Notes

1. Investors shall complete this application form using **BLOCK CAPITALS**, and retain a copy together with applicable documentation required in connection with anti-money laundering procedures. **If you make a mistake when completing this form, simply cross through and initial the change (please do not use correction fluid).**
2. The investors should not complete this application form until they have read the Prospectuses and the relevant Key Investor Information Documents (“**KIID**”).
3. Copies of the Prospectuses, the KIIDs and the most recently published annual and semi-annual reports of the Manager are freely available from **lgim.com**.
4. If any of the details in this application form provided by the investor change during the lifetime of the investment, please advise the Manager immediately in order to avoid any possible settlement delays at some future date.
5. Please note that eligibility requirements exist for (i) investments into C Class Units where available for the Funds; and (ii) investments in the Legal & General UK Property Fund (which is a sub-fund of Legal & General Investment Funds ICVC). If you wish to invest in either of the above, please contact us for more information before completing this form.

## Part 1 – Details of investor

Registered name	<input type="text"/>		
Registered address	<input type="text"/>		Postcode <input type="text"/>
	<input type="text"/>		
Correspondence address (if different)	<input type="text"/>		Postcode <input type="text"/>
	<input type="text"/>		
Contact name	<input type="text"/>	Email address	<input type="text"/>
Telephone number	<input type="text"/>	Fax number	<input type="text"/>
<input type="checkbox"/>	Please tick this box if the investor is a trust and refer to Appendix I for additional fields to be completed.		

The contact details provided above will be the primary contact and will receive all documentation relating to the Units, including confirmation of any changes to the information provided in this application form. Additional email contacts can be provided below:

Email address	<input type="text"/>		
Email address	<input type="text"/>		
Email address	<input type="text"/>		
	<input type="checkbox"/> Please tick this box if you wish to create more than one designation.		
Designation	<input type="text"/>	Designation	<input type="text"/>
Designation	<input type="text"/>	Designation	<input type="text"/>

## Part 2 – Bank details of investor

Please provide the bank details for receipt of both redemption and distribution proceeds. Any proceeds will be made in the base currency of the unit class in which you are investing. By providing the account details below you are also confirming that monies for payment of subscriptions originate from this account. Redemption proceeds will be returned to the account detailed below. Please ensure the bank account is in the name of the investor, as no third-party payments shall be permitted. By completing this section you also undertake to inform the Registrar in writing of changes to these details immediately.

Account name	<input type="text"/>		
Bank name	<input type="text"/>		
Bank address	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Sort code	<input type="text"/>	Account number	<input type="text"/>
Correspondence bank address (if different)	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Account number (if applicable)	<input type="text"/>		
	<input type="checkbox"/> Please tick this box if you wish to receive any distribution proceeds to another account.		

### Protections against money laundering

Pursuant to applicable UK laws to combat drug trafficking and money laundering, the Manager may request details from you concerning the financial institutions from which the transfer of payment is to be made. If such financial institution is not based in a Financial Action Task Force member country, the Manager may also ask you for documents (duly certified by the local authorities of the country of residence) verifying identification. Units will not be issued until the Manager has received and is satisfied with all the details and requested necessary documents to verify the identity of the investor and any third parties from which the transfer of payment is made. Failure to provide such documentation or information will result in the delay of the subscription process.

## Part 3 – Investor profile form

Pursuant to applicable laws, the Manager is required to carry out appropriate due diligence on investors in the Funds. This includes understanding whether the investor is acting on its own behalf, or an intermediary acting on behalf of underlying customers.

### 3.1 Beneficiary details

**Please confirm whether the investor is:**

- Investing on its own behalf and the investor is the ultimate beneficiary of the investments and any subsequent income generated.
- Investing on behalf of the ultimate beneficiary of the investment and any subsequent income generated. The investor also confirms that it has been given authority by the ultimate beneficiary and is allowed to place transactions and instruct static data amendments on the account.

**Please confirm the capacity which the investor is acting in:**

- 3.1.1 Credit institution or other professional investing in its own name
- 3.1.2 Manager of an investment fund or other fund
- 3.1.3 Discretionary asset manager
- 3.1.4 (Re-)insurance company
- 3.1.5 Pension fund or plan
- 3.1.6 Custodian
- 3.1.7 Nominee service provider
- 3.1.8 Distributor (including platforms)
- 3.1.9 Other (please specify)

  
  
  
  
  
  
  
  

**If the investor is a custodian or nominee service provider, please confirm the location of the ultimate beneficiary of the investments:**

### 3.2 Client classification

- By ticking here, we hereby represent and warrant that we are a professional client, and that the Manager shall treat us as a professional client for the purposes of our investment in the Funds.

### 3.3 Agency arrangement

Please tick this box if instructions and notices will be sent to the Manager by a party other than the investor (the "Agent").

Agent's name

Agent's address

FCA reference number

Postcode

L&G agent code

Correspondence bank address (if different)

Telephone number

Email address

## Part 4 – Anti-money laundering regulations

Under UK anti-money laundering legislation, the Manager is required to obtain documentation to verify the identity of all new investors. Such verification may be achieved electronically through the use of a credit reference agency which may keep a record of this information; this check is only used to verify the identity and will not affect credit records.

Please note that the application may not be accepted until all the relevant information has been received. Additional confirmation of the identity or authority of the investor or the source of funds may be required in certain circumstances. Redemptions will not be processed on non-cleared or non-verified accounts.

**We declare that we fall within the category indicated below (please tick all the relevant boxes) and enclose the required documentation set out in Appendix II:**

- 4.1 Corporation (i.e. listed company, private limited company, partnership or LLC)
- 4.1.1 Regulated corporation
- 4.1.2 Subsidiary of a listed company
- 4.2 Pension scheme
- 4.3 Government/public body
- 4.4 Charity, trust, foundation or similar entity

## Part 5 – Automatic exchange of financial account information

The International Tax Compliance Regulations 2015 (the “Regulations”) require us to collect certain information about each investor’s tax residency and tax classifications in respect of a number of international programmes for the automatic exchange of financial account information (collectively the “Automatic Exchange of Financial Account Information Programmes”) in which the United Kingdom participates. These are:

- (i) EU Council Directive 2014/107/EU amending EU Council Directive 2011/16/EU on administrative cooperation in the field of taxation;
- (ii) the Multilateral Competent Authority Agreement on the Automatic Exchange of Financial Account Information approved by the Council of the Organisation for Economic Co-operation and Development; and
- (iii) the Agreement with the Government of the United States of America to Improve International Tax Compliance and to implement FATCA which was signed on 12 September 2012.

In certain circumstances, the Manager may be obliged to share information about your account with HM Revenue & Customs which, in turn, may share that information with the tax authority or authorities of the country or countries in which you are resident for the purposes of tax.

To aid you in the completion of this section, please refer to the definitions which are contained in the Regulations and in the Automatic Exchange of Financial Account Information Programmes mentioned above.

### 5.1 Tax residency information

If the investor has more than one country of tax residency, please complete this part of the application form for each country.

5.1.1 Please state the country in which the investor is resident for tax purposes.

5.1.2 Please provide us with the investor’s Tax Identification Number.

### 5.2 The investor’s classification under the United States of America’s Foreign Account Tax Compliance Act (“FATCA”)

**Please tick only one box in this section with reference to the tax residency stated in box 5.1.1**

**If the investor is a Financial Institution, please specify which type:**

5.2.1 UK Financial Institution or a Partner Jurisdiction Financial Institution

5.2.2 Participating Foreign Financial Institution (in a non-IGA jurisdiction)

5.2.3 Non-Participating Foreign Financial Institution (in a non-IGA jurisdiction)

5.2.4 Exempt Beneficial Owner

5.2.5 Deemed Compliant Foreign Financial Institution (besides those listed above)

**If the investor is not a Financial Institution, please specify the entity’s FATCA status below:**

5.2.6 Active Non-Financial Foreign Entity

5.2.7 Passive Non-Financial Foreign Entity

**(If the investor ticks this box, please also complete section 5.5 for each of the investor’s Controlling Persons)**

### 5.3 The investors’ classification under the Common Reporting Standard (“CRS”) developed by the organisation for economic co-operation and development

**Please tick only one box with reference to the tax residency stated in box 5.1.1**

5.3.1 Financial Institution (this includes Non-Reporting Financial Institutions such as a pension scheme or government entity)

5.3.2 A professionally managed Investment Entity outside of a CRS Participating Jurisdiction  
**(If the investor ticks this box, please also complete section 5.5 for each of the investor’s Controlling Persons)**

5.3.3 Active Non-Financial Entity which is regularly traded on an established securities market or affiliated thereto,  
a Governmental Entity or an International Organisation

5.3.4 Active Non-Financial Entity (other than those listed in 5.3.3 above)

5.3.5 Passive Non-Financial Entity  
**(If the investor ticks this box, please also complete section 5.5 for each of the investor’s Controlling Persons)**

## 5.4 Beneficiary details

Please provide the investor's Global Intermediary Identification Number (GIIN)

If the investor is a financial institution but unable to provide a GIIN, please tick one of the reasons below:

5.4.1 The Organisation has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN. Please provide the sponsor's name and sponsor's GIIN:

Sponsor's Name

Sponsor's GIIN

5.4.2 Exempt Beneficial Owner

5.4.3 Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II)

5.4.4 Non-Participating Foreign Financial Institution

5.4.5 Excepted Foreign Financial Institution

## 5.5 The investor(s)' controlling persons

(To be completed only where the investor has ticked one or more of boxes 5.2.7, 5.3.2 and 5.3.5)

Where the investor have ticked one or more of boxes 5.2.7, 5.3.2 and 5.3.5, please provide information on the person or persons who have control of the investor.

Name

Address

Postcode

Countries of tax residence

Tax Identification Numbers in the countries above

Name

Address

Postcode

Countries of tax residence

Tax Identification Numbers in the countries above

Name

Address

Postcode

Countries of tax residence

Tax Identification Numbers in the countries above

## Part 6 – Declarations

### 6.1 Investment

6.1.1 We hereby acknowledge that this application is made on the terms of the latest KIIDs (in so far as applicable) and the relevant Prospectuses and subject to the provisions of the Prospectuses and the Trust Deeds relating the relevant Funds, as amended from time to time.

6.1.2 We acknowledge that we have the choice of receiving the Prospectuses and the KIIDs (in so far as applicable) on paper and/or in electronic form by means of a website. In so far as applicable, we hereby specifically consent to receiving the KIIDs in electronic form by way of accessing the latest version of the document online at [lgim.com](http://lgim.com) (or such other website address as may be notified to us from time to time). We hereby represent that we have regular access to the internet. Alternatively, we may request a paper copy to be delivered free of charge.

6.1.3 To the extent applicable, we hereby acknowledge that we have received or accessed by electronic means and/or by paper the KIIDs prior to our investment in the relevant Funds and relevant Classes. We also confirm that we will access the relevant KIIDs by electronic means and/or by paper before making any subsequent and/or future subscriptions for Units in any Classes or Funds.

### 6.2 Authority

6.2.1 We confirm that we have the authority to make investment(s) pursuant to this application form whether such investment is in our own name or is made on behalf of another person or institution.

### 6.3 Settlement of subscription monies

- 6.3.1 We have made arrangements for payment to be made to the relevant bank account specified in section 9 for subscriptions and acknowledge that the Manager reserves the right to reject any application in whole or part without assigning any reason therefore.
- 6.3.2 We understand that the Manager may rely upon an application for subscription even prior to receipt of subscription monies and the Manager may invest the expected subscription amounts. Any failure or default by us to transmit subscription monies so that they are received in cleared funds prior to the end of the settlement period specified in the Prospectuses or as agreed between the investor and the Manager from time to time (the "**Settlement Period**") may result in certain losses, costs or expenses for the account of the Funds.
- 6.3.3 We hereby agree to indemnify each of the Funds, Manager and the Registrar and any of their directors, officers, delegates, agents or employees for any losses, costs or expenses (including without limitation legal fees, taxes and penalties) incurred by them as a result of a failure or default to arrange transmission of subscription monies so that they are received in cleared funds for the account of such Funds prior to the end of the Settlement Period.

### 6.4 Anti-money laundering

- 6.4.1 We agree to provide to the Manager and/or the Registrar any additional documentation that it or they may require from us to verify our identity in accordance with the current UK anti-money laundering and/or tax legislation.
- 6.4.2 We acknowledge that any delay by us in providing such documentation may result in delayed processing of our application and/or delayed payment of any future redemption payments or processing of Unit transfer or switch request on our behalf.
- 6.4.3 We hereby hold the Funds, Manager and the Registrar harmless and indemnify them against any loss arising as a result of a failure to process the application if such information required has not been provided by us. We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designated to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
- 6.4.4 We warrant that the monies being invested pursuant to this application form have been duly declared to all relevant tax authorities and agree to indemnify and hold harmless the Funds, Manager and the Registrar and each of their respective directors, officers, delegates, agents or employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result from our holdings of Units and which result in the investment incurring any liability to taxation and/or breaching any law or regulation of any local regulatory or taxation authority.

### 6.5 Tax representation

- 6.5.1 We understand that the tax disclosure set forth in the Prospectuses is of a general nature and that the tax consequences of our purchase of Units depend on our individual circumstances.
- 6.5.2 We confirm that we have completed the Automatic Exchange of Financial Account Information self-certification set out in this application form. We further agree to provide to the Manager any such declarations, confirmations and/or classifications as the Manager deems necessary at such times as it may request and furthermore provide any supporting certificates or documents as the Manager may reasonably require in connection with this investment by reason of the Automatic Exchange of Financial Account Information Programmes to which the United Kingdom is a party, or otherwise. Should any information furnished to it become inaccurate or incomplete in any way, we hereby agree to notify the Manager immediately of any such change and further agree to immediately take such action as the Manager may direct, including where appropriate, redemption of our Units in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Manager.
- 6.5.3 We agree to notify the Manager of any change to our tax residency status and status under the Automatic Exchange of Financial Account Information Programmes. We hereby also agree to indemnify and keep indemnified the Manager against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet our obligations pursuant to this section of the application form or failure to provide such information which has been requested by the Manager and has not been provided by us, and from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by us to the Manager under the terms of this application form.
- 6.5.4 We further acknowledge that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in the compulsory redemption of our entire holding of the Units, and that the Manager is authorised to hold back from redemption proceeds payable to us such sum as is sufficient (after the deduction of any redemption charges) to discharge any such liability and we shall indemnify and keep indemnified the Manager against any loss suffered by it or by other investors in the Funds in connection with any obligation or liability to so deduct, withhold or account.
- 6.5.5 We confirm our intention to ensure that we are not and shall not become a non-participating financial institution ("NPFI") within the meaning of sub-paragraph (r) of paragraph 1 of Article 1 of the Agreement between the Government of the United Kingdom and Northern Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA which was signed on 12 September 2012. We hereby agree to notify the Manager as soon as reasonably practicable if we become an NPFI.

### 6.6 No U.S. Persons

- 6.6.1 We represent and warrant that we are not a U.S. Person and that we are not acquiring directly or indirectly the Units on behalf of or for the account of a U.S. Person or in violation of any applicable law or regulation. We will notify the Manager immediately if we should at any time become a U.S. Person or hold any of the Units on behalf of or for the account of a U.S. Person, or become prohibited from holding Units under any applicable law or regulation. We represent and warrant that we are not funding the Funds directly or indirectly with funds obtained from a U.S. Person for such purpose. We will not sell or offer to sell or transfer Units to a U.S. Person or any person in violation of any applicable law or regulation.
- 6.6.2 All offers to sell and offers to buy the Units were made to or by us while we were outside the United States (except in the case of an investment made through a discretionary or similar account held for the benefit or account of a non-U.S. Person by a U.S. dealer or other professional fiduciary, in which case such U.S. dealer or fiduciary may have been contacted while in the United States). At the time that our order to acquire the Units was originated, we were outside the United States.
- 6.6.3 **(Applicable to an investor who is not a natural person and is the beneficial owner of the Units)** We hereby confirm that (i) we have not been formed, organised, reorganised, capitalised or recapitalised for the purpose of acquiring any of the Units, (ii) our stockholders, partners, members or other beneficial owners do not have and will not have individual discretion as to their participation or non-participation through the United States in our acquisition of the Units or particular investments made by the Funds, and (iii) we are not a participant-directed retirement benefits plan.
- 6.6.4 **(Applicable to investors who are not natural persons who are acting as agent, nominee or intermediary for a beneficial owner(s) that is/are also not a natural person(s))** Where we are acting in our capacity as agent, intermediary or nominee on behalf of a third party we declare that we have taken sufficient steps to ensure that (i) the beneficial owner(s) has/have not been formed, organised, reorganised, capitalised or recapitalised for the purpose of acquiring any of the Units, (ii) the beneficial owner(s)'s stockholders, partners, members, or other beneficial owners do not have and will not have individual discretion as to their participation or non-participation through the beneficial owner(s) in the beneficial owner(s)'s acquisition of the Units or particular investments made by the Funds, and (iii) the beneficial owner(s) is/are not participant-directed retirement benefit plan.

For these purposes, "United States" and "U.S. Person" shall have the meanings set forth in Regulation S under the United States Securities Act of 1933, and the term "U.S. Person" shall include additionally any person that is not a "Non-United States Person" within the meaning of United States Commodity Futures Trading Commission Regulation 4.7.

## 6.7 Instructions

- 6.7.1 We hereby authorise the Manager and the Registrar to accept and execute any instructions in respect of our investment in the Funds (including any subsequent redemptions) which are given by us or our Agent in writing, or in respect of certain Unit Classes by telephone or by placing a valid dealing instruction via an electronic trading system that is supported by the Registrar, or by such other means and on such terms as may be agreed from time to time by us with the Registrar ("Instructions") in accordance with the Prospectuses.
- 6.7.2 We confirm that we shall not send or transmit or arrange for any sending or transmitting on our behalf, any electronic communication which contains a virus or other media damaging to your property or computer systems or which may be defamatory, libellous, slanderous, obscene, abusive, offensive, menacing or immoral and will abide with all relevant laws and regulations and international conventions or treaties governing the content of and the transmission of such electronic communications. We acknowledge, if any other entity/person wishes to give Instructions in respect of our account, they will also have to complete an Instruction Form.
- 6.7.3 We acknowledge that Instructions sent by email, facsimile or electronic form are an unsafe method of communication and emails, facsimile or electronic messages may be lost, subject to delays, interference by third parties, viruses and their confidentiality, security and integrity cannot be guaranteed. We acknowledge that Instructions sent by email, facsimile or in electronic form cannot be guaranteed to be free of error. We hereby agree to indemnify each of the Fund, Manager and the Registrar and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions or against any loss of any nature whatsoever arising to any of them as a result of sending Instructions by email, facsimile or in electronic form. The Funds, Manager and the Registrar may each rely conclusively upon and shall incur no liability in respect of any action taken upon any Instruction believed in good faith to be genuine and to be signed by properly authorised persons.
- 6.7.4 I/We hereby agree to indemnify each of the Fund, Manager and the Registrar and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions. Each of the Fund, Manager and the Registrar may rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.

## 6.8 Reports

- 6.8.1 We acknowledge that the Manager and/or the Registrar may deliver reports to us by email where we have consented to it by providing an email address. Such reports may include, but are not limited to, contract notes, valuation statements and other reports which may be issued from time to time (the "Reports").
- 6.8.2 We acknowledge that the Reports sent by email or electronic form are an unsafe method of communication and email and electronic messages may be lost, subject to delays, interference by third parties, viruses and their confidentiality, security and integrity cannot be guaranteed. We acknowledge that Reports sent by email or in electronic form cannot be guaranteed to be free of error. We hereby confirm that we will not hold the Funds, Manager or the Registrar or any of their directors, officers, delegates, agents or employees liable now or at any time for any loss, damage, financial or otherwise, which we may suffer as a result of any act or omission of the Manager and the Registrar and their respective directors, officers, delegates, agents or employees in connection with the electronic delivery of Reports, or any interception or breach of confidentiality or integrity or as a result of any delays, inaccuracy, imperfection, lack of quality, ineffective transmission, viruses, alteration or distortion howsoever arising affecting any email or electronic communications.
- 6.8.3 We acknowledge that the Reports may be made available to third parties. We hereby confirm that we will not hold any of the Funds, Manager, and/or the Registrar or any of their respective directors, officers, delegates, agents or employees liable now or at any time for any loss, damage, financial or otherwise, which we may suffer as a result of any inaccuracy, imperfection, lack of quality howsoever arising. We acknowledge and accept that the Manager and/or the Registrar or any of their directors, officers, delegates, agents or employees are not liable for the acts of those third parties.

## 6.9 Further declarations

- 6.9.1 We hereby agree to indemnify and hold harmless each of the Fund, Manager and the Registrar and each of their respective directors, officers, delegates, agents or employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by us to any of them under the terms of this application form and shall notify the Manager or the Registrar immediately if any of the representations herein made are no longer accurate and complete in all respects.
- 6.9.2 We agree to inform the Manager immediately should we no longer be resident in the United Kingdom or if any of our registration details change.
- 6.9.3 We agree to provide to the Manager and/or Registrar at such times as each of them may request such declarations, certificates or documents as each of them may reasonably require in connection with this application form. Should any information furnished to any of them become inaccurate or incomplete in any way, we hereby agree to notify the Manager or the Registrar (as applicable) immediately of any such change and further agree to request the redemption of Units in respect of which such confirmations have become inaccurate or incomplete where requested to do so by the Funds.
- 6.9.4 We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases, redemptions and transfers of Units by us or on our behalf.
- 6.9.5 We have such knowledge and experience in business and financial matters and/or have obtained such professional advice so that we are capable of evaluating the merits and risks of an investment by us in the Funds.
- 6.9.6 We confirm that the persons listed on the attached authorised signatories list and whose specimen signatures appear on that list are duly authorised to give Instructions with respect to Units held by us in the Funds and to subscribe for additional Units or redeem Units on our behalf.

## 6.10 Data protection

The Manager takes your privacy very seriously. Under data protection legislation, we have classified the Manager as a 'data controller' (and in the case of an investment in the OEIC, the Manager and the OEIC as "joint data controllers").

This means that we are subject to certain obligations relating to how we process personal data. These obligations include, without limitation, providing individuals with certain information regarding how we process their personal data.

We will use the personal data you have provided in this application form, including your name, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Privacy Policy which is available at [Ijim.com/UTMprivacy](http://Ijim.com/UTMprivacy) (or otherwise available upon request).

Our Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed and also contains any other information prescribed by data protection legislation.

## 6.11 Communication

In order to process your personal data for the purposes of marketing (which may be carried out by the Manager or entities within the Legal & General group of companies), the Manager, acting as a data controller seeks your consent.

Please tick if you wish to receive marketing communications about the products and services of the Manager and the Legal & General group of companies (including news and exclusive offers) by email .

You may, at any time, object to the use of your personal data and withdraw your consent for the purposes of direct marketing at any time and free of charge by writing to the Data Protection Officer by letter and/or email at the address(es) provided in the Privacy Policy.

## 6.12 Governing law

This application form shall be governed by and construed in accordance with the laws of England and Wales and the courts of England and Wales will have exclusive jurisdiction to settle any dispute arising out of or in connection with this application form.

## Part 7 – Investor Signature(s)

1. Authorised signatory	<input type="text"/>	Capacity of authorised signatory (if applicable)	<input type="text"/>
Print name	<input type="text"/>	Date	<input type="text"/>

2. Authorised signatory	<input type="text"/>	Capacity of authorised signatory (if applicable)	<input type="text"/>
Print name	<input type="text"/>	Date	<input type="text"/>

### Important Notes:

To be valid, the application form must be signed by two authorised signatories of the investor. A certified copy of the resolution, Power of Attorney or other authority authorising the signatories should be provided with the application form.

Please tick the items enclosed:

Authorised Signatory List	<input type="checkbox"/>	AML Documentation	<input type="checkbox"/>	Other	<input type="text"/>
---------------------------	--------------------------	-------------------	--------------------------	-------	----------------------

## Part 8 – Additional Information

### Completed forms

Completed application forms, together with an authorised signatory list and other applicable documentation required for anti-money laundering procedures, as detailed in Appendix II, should be returned to the Registrar:

### Legal & General Investments

PO Box 6080,  
Wolverhampton  
WV1 9RB  
Telephone: 0370 050 0634

The Registrar will contact you on receipt of your application to confirm that the documentation is complete or advise you if further documentation is required to process your application. Units will be purchased on the Dealing Day in accordance with the Prospectuses.

### Dealing instructions

The transaction form is available from the Registrar for dealing in the Funds. Units will be purchased at the next price calculated only after receipt of all correctly completed transaction forms and documentation in accordance with the Prospectus.

Initial subscription details should be provided to the Registrar in the transaction form. The transaction form must be received by the Registrar prior to the dealing cut off point specified in the Prospectuses.

### Bank account details

Payments can be made by the following methods:

If you wish to pay by BACS or Telegraphic Transfer, all payments must be made to the account below.

Bank name	Royal Bank of Scotland														
Account name	Legal & General (UTM) Ltd Client Money Account														
Sort code	<input type="text"/> 1	<input type="text"/> 6	<input type="text"/> 0	<input type="text"/> 4	<input type="text"/> 0	<input type="text"/> 0	Account number	<input type="text"/> 1	<input type="text"/> 0	<input type="text"/> 0	<input type="text"/> 0	<input type="text"/> 0	<input type="text"/> 3	<input type="text"/> 8	<input type="text"/> 8
IBAN number	GB39RBOS16040010000388														
BIC Number	RBOSGB2L														
If you wish to pay by cheque, all payment must be made payable to:	Legal & General (Unit Trust Managers) Limited														

Please include your **client number** as a reference (if possible) and send to the address below:

### Legal & General

PO Box 6080  
Wolverhampton  
WV1 9RB

Money must come from the account of the investor. The settlement is due by the settlement period specified in the Prospectuses. If settlement is not made within that time, then the Manager has the right to cancel any Units issued in respect of the transaction.

## Appendix I

Name of the trust

Registered address

Name of the trustee

Address

Email address

Telephone number

Name of the trustee

Address

Email address

Telephone number

Name of the trustee

Address

Email address

Telephone number

Name of the trustee

Address

Email address

Telephone number

Name of the trustee

Address

Email address

Telephone number

### ■ Corporation

1. Full name of the corporation
2. Registered address
3. Correspondence address (if different)
4. Registered company number
5. Certified certificate of incorporation
6. Written confirmation of all directors/partners
7. Written confirmation of shareholders/partners with 25% or greater interest
8. Certified copy of signatory list
9. Written confirmation of the ownership and control structure, e.g. corporate ownership and board organisation chart

### ■ Regulated/Listed company

1. Full name of the regulated/listed company
2. Registered address
3. Regulator/exchange name
4. Regulator number
5. Copy of signatory list

### ■ Subsidiary of Regulated/Listed company

1. Full name of the subsidiary of regulated/listed company
2. Registered address
3. Full name of regulated/listed parent company
4. Regulator/exchange name
5. Regulator number
6. Written confirmation from regulated/listed parent company that subsidiary is wholly/majority owned
7. Copy of the signatory list for the regulated/listed subsidiary
8. Written confirmation of the ownership and control structure, e.g. corporate ownership and board organisation chart

### ■ Pension scheme

1. Full name of the pension scheme
2. Contact details
3. Correspondence or screen print from the HMRC scheme portal confirming the registered number; or
4. Certified screen print from the pensions regulator confirming registered number
5. Name, address and FCA regulator reference number of the operator
6. Copy of signatory list

### ■ Public body

1. Full name of the public body
2. Registered address
3. Nature or legal status of the public body
4. Copy of signatory list

### ■ Charity

1. Full name of the charity
2. Registered address
3. Correspondence address (if different)
4. Contact details
5. Registered charity or tax exemption number
6. Copy of the complete trust deed/constitution and all amendments since inception\*
7. Charity's objectives/activities
8. Full names of all trustees signed by an instructing trustee\*
9. Name, address and date of birth (if applicable) of instructing trustees\*
10. Copy of personal documentation for instructing trustees\*  
N.B. If charity is incorporated the requirements marked\* are not required and the following should be supplied:
11. Registered company number
12. Name, address and date of birth (if applicable) of company secretary
13. Copy of the personal documentation for the company secretary
14. Written confirmation of the ownership and control structure, e.g. corporate ownership and board organisation chart

### ■ Trust

1. Full name of the trust
2. Contact details
3. Certified copy of complete trust deed/constitution and all amendments since inception
4. Name, address and date of birth (if applicable) of the instructing trustees
5. Certified personal documents for all trustees named on the trust deed
6. Certified personal documents for donor/settlor
7. Certified personal documents for beneficiaries with 10% or more interest
8. Certified copy of signatory list (if applicable)

### To be acceptable, certifications must be carried out by the following regulated or professional persons:

- Lawyer/solicitor
- Authorised financial intermediary

### When certifying the documentation, the certifier must state clearly all the following information on every document:

- Full name and signature of the individual certifying the documentation
- Name and address of the organisation for whom the individual works
- The date the certification was performed
- The statement that "I have seen the original document and certify that this is a complete and accurate copy of the original".



LGAAA105